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By

PATENT  
Attorney Docket No.: 020670-136520  
UC Case No. UC99-1123

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HONG-JUN SONG *et al.*

Application No.: 10/090,095

Filed: February 28, 2002

For: PROMOTERS OF NEURAL  
REGENERATION

Examiner:

Art Unit:

**REVOCATION AND SUBSTITUTION OF POWER OF ATTORNEY  
BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71**

and

**NOTIFICATION OF CHANGE OF CORRESPONDENCE ADDRESS  
AND ATTORNEY DOCKET NUMBER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Kevin L. Bastian, Reg. No. 34,774; M. Henry Heines, Reg. No. 28,219; Laurence J. Hyman, Reg. No. 35,551; Jean M. Lockyer, Reg. No. 44,879; Jeffrey S. Mann, Reg. No. 42,837; Annette S. Parent, Reg. No. 42,058; William M. Smith, Reg. No. 30,223; Joseph R. Snyder, Reg. No. 39,381; Eugenia Garrett-Wackowski, Reg. No. 37,330; Ellen Lauver Weber, Reg. No. 32,762; Kenneth A. Weber, Reg. No. 31,677; all of the firm of

Townsend and Townsend and Crew LLP, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR § 3.71.

The assignee is the owner of record of the entire interest. A Statement Under 37 CFR 3.73(b) is enclosed.

The assignee requests that correspondence concerning this application recite Attorney Docket no. 02307O-136520 and be directed to Townsend and Townsend and Crew, LLP: Customer Number 20350.



The assignee also requests that all telephone calls be directed to Jean M. Lockyer at (415) 576-0200. Please note new attorney docket number:

ASSIGNEE:

THE REGENTS OF THE  
UNIVERSITY OF CALIFORNIA

Signature:

Typed Name:

Title:

  
Linda S. Stevenson

Manager, Patent Prosecution  
Office of Technology Transfer  
1111 Franklin Street, 12th Floor  
Oakland, CA 94607-5200

Dated: May 20, 2003

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Hong-jun Song, Mu-ming Poo, Guo-li Ming, Marc Tessier-Lavigne and Zhigang HeApplication No./Patent No.: 10/090,095Filed/Issue Date: February 28, 2002Entitled: Promoters of Neural RegenerationThe Regents of the University of California, a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Marc Tessier-Lavigne and Zhigang He To: Howard Hughes Medical Institute

The document was recorded in the United States Patent and Trademark Office at Reel 012672, Frame 0315, or for which a copy thereof is attached.

2. From: Howard Hughes Medical Institute, Hong-jun Song, Mu-ming Poo and Guo-li Ming To: The Regents of the University of California

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- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date

Linda S. Stevenson

Typed or printed name

Signature

Manager, Patent Prosecution

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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